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Submission (excluding References)

4

Application Number

09/997,449

Filing Date

November 30, 2001

First Named Inventor

Shamim M. Malik

Group Art Unit

3732

Examiner Name

Unassigned

Attorney Docket Number

50623.134

ENCLOSURES (check all that apply)☒ Deposit Account Authorization
07-1850☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority
Document(s)☐ Response to Missing Parts/
Incomplete Application☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53☐ Assignment Papers
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a
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(please identify below):**Form PTO-1449 with
1 Reference**

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENTFirm
or
Individual nameSquire, Sanders & Dempsey L.L.P.
Paul J. Meyer, Jr., Reg. No. 47,791

Signature

Date

May 23, 2002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#2

In re Application of:

Shamim M. Malik et al.

Examiner: Unassigned

Serial No. 09/997,449

Art Unit: 3732

Filed: November 30, 2001

Title: Modified Implantable Device Surface and a Method of Making the Same

Commissioner for Patents
Washington, DC 20231

**INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. §§1.97-1.98**

Dear Examiner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the reference listed on the attached Form PTO-1449. One copy of the cited reference is submitted herewith.

The submission of the listed document is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute the listed document as prior art during examination. Furthermore, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The present Information Disclosure Statement is being filed before receiving the first Office Action. Therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R.

§1.17(p) is required. However, the Commissioner is authorized to charge any deficiencies or other amounts due to Deposit Account No. 07-1850.

Date: May 23, 2002

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Respectfully submitted,



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I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

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